



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./FILE
09/344,189	06/24/99	ROGLER	C 0342/1D888US

DARBY & DARBY  
805 THIRD AVENUE  
NEW YORK NY 10022

0212/0719

NOT ASSIGNED

1632

DATE MAILED:

07/19/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1256.

- ☒ 1. The statutory basic filing fee is:

☐ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ 288 for 16 total claims over 20.

\$ 78 for 1 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

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760.00 00  
78.00 00  
288.00 00

130.00 00

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CERTIFICATE OF MAILING

I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents & Trademarks, Washington, DC 20231,

on August 31, 1999 (Date of Deposit)

Date

Name

8/31/99 J. DiLullo



SECTOR/\$

Docket No.: 0342/1D888US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Charles E. ROGLER

Serial No.: 09/344,189

Art Unit: 1632

Filed: 06/24/99

Examiner:

For: CHRONIC HEPATITIS VIRUS INFECTION AND CLONAL  
HEPATOCELLULAR CARCINOMA IN MOUSE REPOPULATED LIVERS

Hon. Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration, petition and power of attorney
2. Check in the amount of \$ 1296.00, (\$1256.00 filing; \$40.00 recording; \$ surcharge)  
(See attached **Fee Computation Sheet**)
3. ☐ Formal drawings, sheets (Figs. )

4. ☒ Assignment for recording to: Albert Einstein College of Medicine of Yeshiva University, a division of Yeshiva University
5. ☐ Verified statement claiming small entity status.  
**PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.**
6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

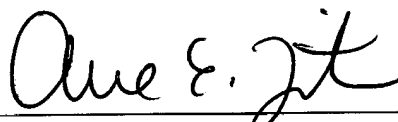
Country:  
Number:  
Date:

The priority documents ☐ are enclosed  
☐ will follow.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Dated: August 31, 1999

Respectfully submitted,



Anne E. Zitron, Ph.D.  
Reg. No. 41,391  
Agent for Applicant(s)

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022  
212-527-7700

Docket No. 0342/1D888US1

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
.....				\$760.00
Total Claims	36 - 20	0 - =	16 x \$18.00	\$288.00
Independent Claims	4 - 3	0 - =	1 x \$78.00	\$78.00
Multiple Dependent Claims		- if so, add	\$260.00	\$
Surcharge for late submission of filing fee and/or declaration (\$130.00) .....				\$130.00
SUBTOTAL .....				\$1256.00
[ ] Small Entity REDUCTION (Half of Subtotal) .....				\$
Fee for recordation of assignment (\$40.00) .....				\$40.00
Charge for filing non-English language application (\$130.00)				\$
TOTAL .....				\$1296.00

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